

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** ( Not for submission under 37 CFR 1.99)

Application Number		10661233
Filing Date		2003-09-12
First Named Inventor	Jeffrey George	
Art Unit		3714
Examiner Name	Kim T. Nguyen	
Attorney Docket Number		60518-177

U.S.PATENTS						<a href="#">Remove</a>
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	4283709		1981-08-11	Lucero et al.	
	2	4636951		1987-01-13	Harlick	
	3	4782468		1988-11-01	Jones et al.	
	4	4948138		1990-08-14	Pease et al.	
	5	5038022		1991-08-06	Lucero	
	6	5116055		1992-05-26	Tracy	
	7	5249800		1993-10-05	Hilgendorf et al.	
	8	5280909		1994-01-25	Tracy	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		10661233
Filing Date		2003-09-12
First Named Inventor	Jeffrey George	
Art Unit		3714
Examiner Name	Kim T. Nguyen	
Attorney Docket Number		60518-177

	9	5321241		1994-01-14	Craine	
	10	5332076		1994-07-26	Ziegert	
	11	5342047		1994-08-30	Heidel et al.	
	12	5457306		1995-10-10	Lucero	
	13	5470079		1995-11-28	LeStrange et al.	
	14	5472194		1995-12-05	Breeding et al.	
	15	5547202		1996-08-20	Tsumura	
	16	5559312		1996-09-24	Lucero	
	17	5564700		1996-10-15	Celona	
	18	5613912		1997-03-25	Slater	
	19	5655961		1997-08-12	Acres et al.	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		10661233
Filing Date		2003-09-12
First Named Inventor	Jeffrey George	
Art Unit		3714
Examiner Name	Kim T. Nguyen	
Attorney Docket Number		60518-177

	20	5655966		1997-08-12	Werdin, Jr. et al.	
	21	5702304		1997-12-30	Acres et al.	
	22	5707287		1998-01-13	McCrea, Jr.	
	23	5735525		1998-04-07	McCrea, Jr.	
	24	5741183		1998-04-21	Acres et al.	
	25	5752882		1998-05-19	Acres et al.	
	26	5766076		1998-06-16	Pease et al.	
	27	5800268		1998-09-01	Molnick	
	28	5800269		1998-09-01	Holch et al.	
	29	5811772		1998-09-22	Lucero	
	30	5819901		1998-10-13	Filiberti	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		10661233
Filing Date		2003-09-12
First Named Inventor	Jeffrey George	
Art Unit		3714
Examiner Name	Kim T. Nguyen	
Attorney Docket Number		60518-177

	31	5820459		1998-10-13	Acres et al.	
	32	5833540		1998-11-10	Miodunski et al.	
	33	5836817		1998-11-17	Acres et al.	
	34	5855515		1999-01-05	Pease et al.	
	35	5876284		1999-03-02	Acres et al.	
	36	5885158		1999-03-23	Torango et al.	
	37	5902983		1999-05-11	Crevelt et al.	
	38	5911626		1999-06-15	McCrea, Jr.	
	39	5919091		1999-07-06	Bell et al.	
	40	5935004		1999-08-10	Tarr et al.	
	41	5944608		1999-08-31	Reed et al.	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		10661233
Filing Date		2003-09-12
First Named Inventor	Jeffrey George	
Art Unit		3714
Examiner Name	Kim T. Nguyen	
Attorney Docket Number		60518-177

	42	5952640		1999-09-14	Lucero	
	43	5959277		1999-09-28	Lucero	
	44	5967896		1999-10-19	Jorasch et al.	
	45	6001016		1999-12-14	Walker et al.	
	46	6012984		2000-01-11	Roseman	
	47	6019283		2000-02-01	Lucero	
	48	6039648		2000-03-21	Guinn et al.	
	49	6048269		2000-04-11	Burns et al.	
	50	6068552		2000-05-30	Walker et al.	

If you wish to add additional U.S. Patent citation information please click the Add button.

Add

**U.S.PATENT APPLICATION PUBLICATIONS**

Remove

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
-------------------	---------	--------------------	------------------------	------------------	---	--

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number	10661233
Filing Date	2003-09-12
First Named Inventor	Jeffrey George
Art Unit	3714
Examiner Name	Kim T. Nguyen
Attorney Docket Number	60518-177

1						
---	--	--	--	--	--	--

If you wish to add additional U.S. Published Application citation information please click the Add button. [Add](#)

## FOREIGN PATENT DOCUMENTS

[Remove](#)

Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup>	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	1							<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button. [Add](#)

## NON-PATENT LITERATURE DOCUMENTS

[Remove](#)

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
	1	IBM Technical Disclosure Bulletin, "Abstraction of Remote Operating Systems," Aug. 1, 2001, UK, Issue 448, Page 1374, NNRD44898.	<input type="checkbox"/>
	2	Webopedia definitions for CGI and servlet, downloaded from www.webopedia.com, Dec. 12, 2006.	<input type="checkbox"/>
	3	Wikipedia articles for Common Gateway Interface, PHP, and Java Servlet, downloaded from www.wikipedia.org, May 22, 2006.	<input type="checkbox"/>
	4	Surveillance Remote Network Interface, origin unknown, 1 page (1059).	<input type="checkbox"/>
	5	Bottoms Up, Murphy's Back, New York Times, Thursday, October 26, 2000, Page B11.	<input type="checkbox"/>

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number	10661233
	Filing Date	2003-09-12
	First Named Inventor	Jeffrey George
	Art Unit	3714
	Examiner Name	Kim T. Nguyen
	Attorney Docket Number	60518-177

	6	Ramakrishnan, Raghu. Database Management Systems, 1998. WCB McGraw-Hill. pp. 21-22.	<input type="checkbox"/>
--	---	---	--------------------------

If you wish to add additional non-patent literature document citation information please click the Add button **Add**

#### EXAMINER SIGNATURE

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10661233
Filing Date	2003-09-12
First Named Inventor	Jeffrey George
Art Unit	3714
Examiner Name	Kim T. Nguyen
Attorney Docket Number	60518-177

**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

☒ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

☒ See attached certification statement.

☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☐ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/James R. Yee/	Date (YYYY-MM-DD)	2007-03-25
Name/Print	James R. Yee	Registration Number	34460

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**



## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.